

Notice of References Cited

Application/Control No.

09/624,732

Applicant(s)/Patent Under
Reexamination
SULLIVAN, GERARD P.

Examiner

James Zurita

Art Unit

2165

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U.S. PATENT DOCUMENTS

*		Document Number	Date	Name	Classification	
		Country Code-Number-Kind Code	MM-YYYY			
	A	US-				
	B	US-				
	C	US-				
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	E	US-				
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	"Planners Must Tailor Mutual Fund Mix to Suit Client" Financial Services Week, 20 August, 1990. Author unknown
	V	"Helping Employees Invest Wisely" HR Magazine, volume 38, number 11, page 77-82, November 1993. Keppler, Michael and Ranftle, Kenneth.
	W	Form N-1A/A for INDUSTRY LEADERS FUND, SEC Files 333-62893, 811-08989, Accession Number 950130-98-5798, filed 4 December, 1998
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
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